August 2025
Prepared by the Department of
Academic and Student Affairs



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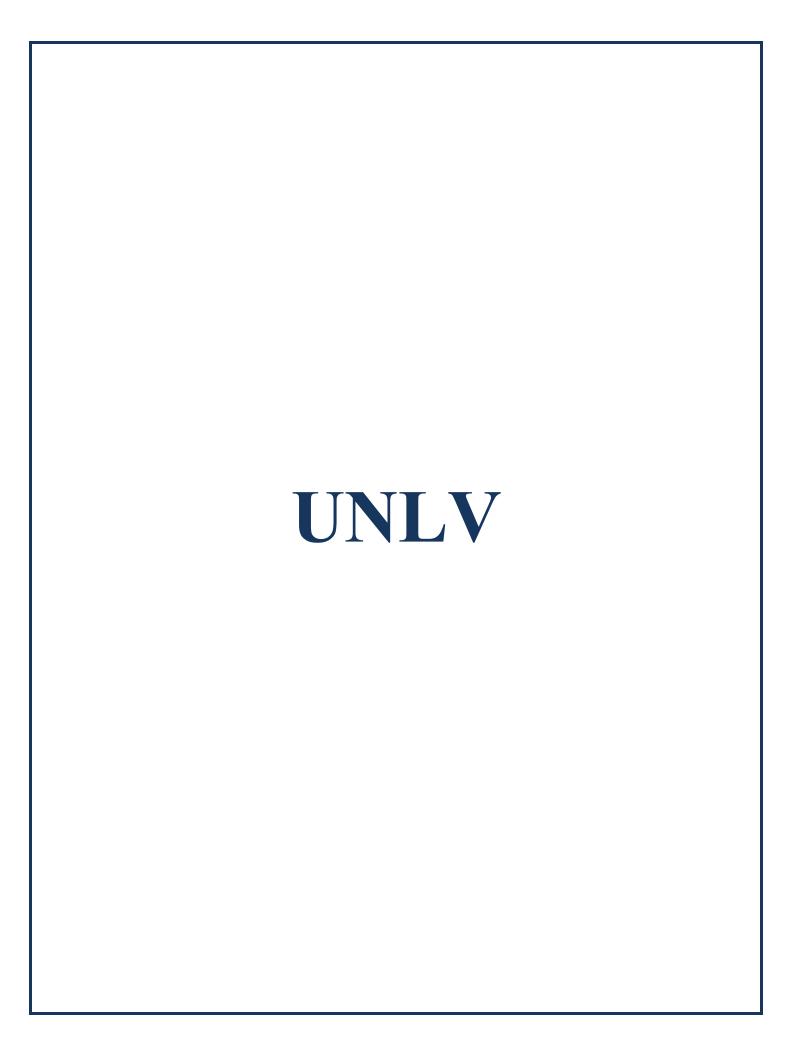
Scholarly and Professional Outside Compensated Services Report

August 2025

The NSHE Scholarly and Professional Outside Compensated Services Report is prepared annually in accordance with Board policy (Title 4, Chapter 3, Section 9, Subsection 15 and Title 4, Chapter 11, Section 12, Subsection 14 for the Desert Research Institute):

Each NSHE institution shall publish an annual report regarding compensated outside professional or scholarly service completed by its full-time faculty (aggregate data) and approved by the institution in accordance with the provisions of this section. This report will verify that all potential conflicts of interest have been reviewed and approved in accordance with the provisions of this section. A summary of these reports will be sent to the Board of Regents annually.

This report summarizes such services performed by full-time faculty members across NSHE, as reported by the institutions. A copy of the full policy is available under Appendix A.



University of Nevada, Las Vegas January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	SPOCS	SPOCS	COI # Annual	COI	COI
	# of	Total # of	% of Faculty	Requests	Requests	Disclosure	% of Faculty	# with
	Faculty	Faculty	Requesting	Reviewed at	Approved	Reports	Submitting	Management
	Requesting		SPOCS	a Higher	with	Submitted	Annual	Plans
	SPOCS			Level than	Management		Disclosure	
				Supervisor	Plans			
Athletics, Division of	13	134	10%	6	6	131	98%	0
Business Affairs, Division of	3	126	2%	22	0	126	100%	0
Business School, Lee	19	119	16%	16	1	119	100%	0
Dental Medicine, School of	16	70	23%	4	2	69	99%	1
Diversity Initiatives, Division of	0	7	0%	0	0	7	100%	0
Economic Development, Office of	4	24	17%	9	1	23	96%	0
Education, College of	30	152	20%	7	0	150	99%	0
Engineering, Howard R. Hughes College	20	135	16%	11	0	122	98%	0
of								
Executive Vice President and Provost,	15	285	5%	18	0	285	100%	0
Office of the								
Fine Arts, College of	49	161	30%	25	10	159	99%	0
Government and Community	0	5	0%	0	0	4	80%	0
Engagement, Office of								
Graduate College	2	9	22%	2	0	9	100%	0
Honors College	3	18	17%	0	0	18	100%	0
Hospitality William F. Harrah, College of	11	72	15%	1	0	72	100%	1
Human Resources, Office of	1	40	3%	0	0	40	100%	0
Information Technology, Office of	5	134	4%	5	2	134	100%	0
Integrated Health Sciences, School of	19	68	28%	10	1	68	100%	0
Integrated Marketing and Branding,	1	30	3%	0	0	30	100%	0
Division of	<u> </u>	30	370	U	U	30	100%	0
Law, William S. Boyd School of	26	76	34%	20	0	74	97%	0
Liberal Arts, College of	59	228	26%	26	2	227	100%	0
Libraries, University	19	83	23%	7	0	83	100%	0
Medicine, Kirk Kerkorian School of	43	248	17%	56	5	247	100%	0
Nursing, School of	21	68	31%	18	4	68	100%	0

Philanthropy and Alumni Engagement, Division of	4	64	6%	11	0	64	100%	0
Police Services	2	9	22%	1	1	9	100%	0
President, Office of the	3	23	13%	3	0	23	100%	0
Public Health, School of	11	82	13%	12	1	82	100%	0
Research, Division of	9	68	13%	14	0	68	100%	0
Sciences, College of	33	226	15%	13	4	226	100%	2
Student Affairs, Division of	17	206	8%	19	0	206	100%	0
Urban Affairs, College of	21	102	21%	7	1	101	99%	0
Total	479	3062	16%	343	41	3044	99%	4

Administrative Unit	# of SPOCS	Not	Business	Academic	Government	Other
	Requests	Approved		Institution		
Athletics, Division of	65	1	4	1	0	59
Business Affairs, Division of	22	0	2	0	0	20
Business School, Lee	28	2	10	11	1	4
Dental Medicine, School of	37	0	9	22	4	2
Diversity Initiatives, Division of	0	0	0	0	0	0
Economic Development, Office of	15	0	7	7	1	0
Education, College of	52	2	4	33	5	8
Engineering, Howard R. Hughes College of	42	2	5	29	5	1
Executive Vice President and Provost, Office of the	37	3	11	23	0	0
Fine Arts, College of	217	1	21	102	1	92
Government and Community Engagement, Office of	0	0	0	0	0	0
Graduate College	3	0	2	1	0	0
Honors College	7	0	0	6	0	1
Hospitality, William F. Harrah College of	32	1	17	13	1	0
Human Resources, Office of	1	0	0	1	0	0
Information Technology, Office of	7	1	3	2	0	1
Integrated Health Sciences, School of	38	2	11	21	1	3
Integrated Marketing & Branding, Division of	5	0	5	0	0	0
Law, William S. Boyd School of	75	1	20	44	3	7
Liberal Arts, College of	209	6	9	83	106	5
Libraries, University	32	0	4	22	1	5
Medicine, Kirk Kerkorian School of	120	2	51	35	8	24
Nursing, School of	37	0	21	4	2	10
Philanthropy and Alumni Engagement, Division of	12	0	3	9	0	0
Police Department, University	2	0	0	0	1	1
President, Office of the	7	0	7	0	0	0

Public Health, School of	24	0	3	15	3	3
Research, Division of	24	2	12	6	1	3
Sciences, College of	89	0	13	63	13	0
Student Affairs, Division of	32	1	11	18	1	1
Urban Affairs, Greenspun College of	70	3	8	47	2	10
Total	1341	30	273	618	160	260

Definitions:

Conflict of Interest (COI) means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee of the institution.

Scholarly or Professional Outside Compensated Services (SPOCS) means any outside scholarly or professional service by a faculty or professional staff member within his/her subject matter field or for which he/she is compensated by an outside entity (e.g., consulting).

Administrative Unit – Universities: College or Vice President Office

Administrative Unit – DRI: Division

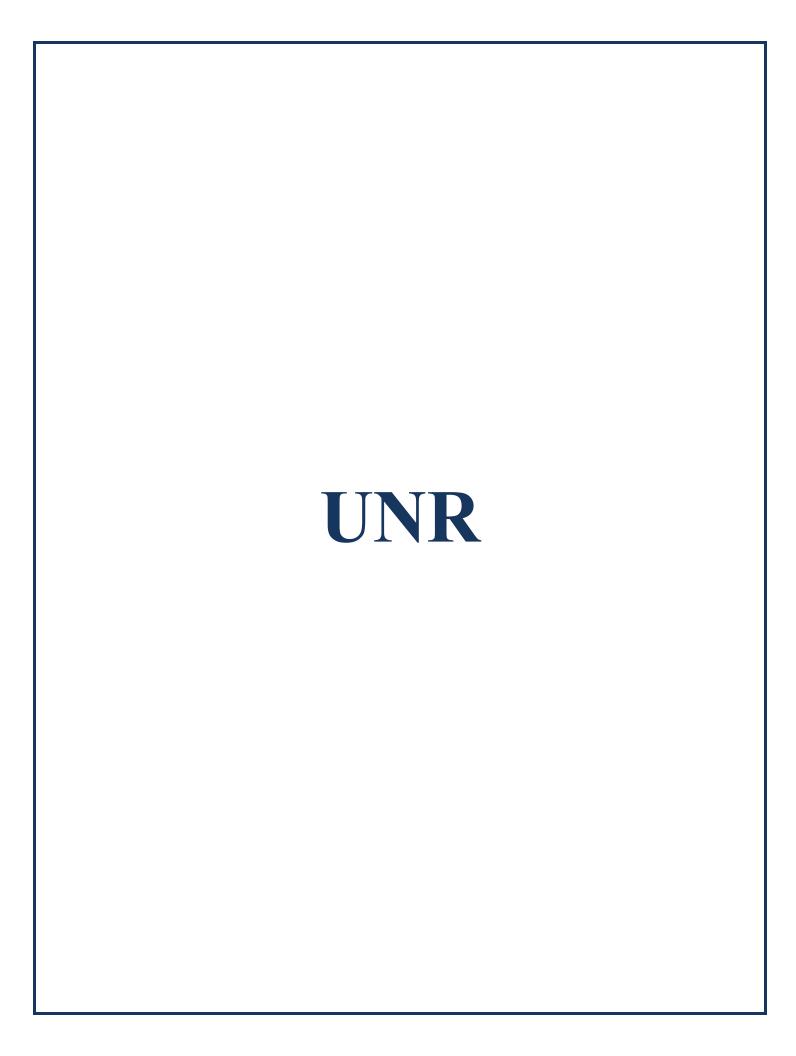


Required Disclosures for the Scholarly and Professional Outside Compensated Services (SPOCS) Report, 2024

In accordance with the NSHE Handbook, Title 4, Chapter 3, Section 9.15, the University of Nevada, Las Vegas hereby submits our annual report of Scholarly and Professional Outside Compensated Services. With the exception of select compensated professional and scholarly activities that do not require prior approval, all requests for outside compensated services require the employee's supervisor's review and approval, and some require higher level review. The employee and the employee's supervisor receive an electronic copy of their completed annual disclosure and the data is stored centrally through Faculty Affairs in the Office of the Executive Vice President and Provost. In alignment with the NSHE reporting spreadsheet, our data definitions are below, along with summary statistics reflecting our 2024 data.

- 1. **Number of Faculty Requesting SPOCS:** UNLV's data in this column reflect pre-approved compensated outside activities as well as requested and approved compensated outside activities. Our total number of faculty requesting SPOCS in 2024 was 479 (16%).
- 2. **Total Number of Faculty:** This is a listing of the total number of faculty by unit. Our total number of faculty in 2024 was 3062.
- 3. **Percent of Faculty Requesting SPOCS:** This is the percentage of individuals per unit who have requested compensated outside activities. In 2024, 16% of faculty requested SPOCS.
- 4. Number of SPOCS Requests Reviewed at a Level Higher than the Employee's Supervisor: In 2024, pre-approved SPOCS were not reviewed prior to engaging in the outside activity. All other SPOCS were reviewed at a level higher than the employee's supervisor (e.g., Dean or Director). The total number of SPOCS Requests Reviewed at a higher level than the employee's supervisor in 2024 was 343 (72% of total SPOCS requests).
- 5. **SPOCS Requests Approved with a Management Plan:** This column reflects the number of SPOCS that were approved once a management plan was developed to modify the activity to prevent or address a conflict of interest. In 2024, there were 41 (9%) of SPOCS requests that were approved with a management plan.
- Number of Annual COI Disclosure Reports Submitted: Annual disclosures for 2024 were required of employees by the end of March. Our total number of COI Disclosure Reports Submitted in 2024 was 3044.
- 7. **Percentage of Faculty Submitting the Annual Disclosure:** This column represents the percentage of completed COI reports per unit. In 2024, 99% of faculty submitted the Annual Disclosure.
- 8. **Number of COIs with a Management Plan:** In 2024, there were 4 COIs with management plans, which is less than 1% of total COI Disclosure Reports submitted.

In collaboration with the Division of Research, this year the Office of Faculty Affairs modified the COI annual disclosure form to include a set of preliminary screening questions to help simplify the review process. Definitions were provided or updated on both the outside compensated activity and the annual COI disclosure forms to better inform respondents and reviewers. These modifications to our COI reporting and pre-approval for outside compensated activities forms clarified and streamlined the process to help ensure more robust reporting and review.



University of Nevada, Reno January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	SPOCS	SPOCS	COI # Annual	COI	COI
	# of Faculty	Total # of	% of Faculty	Requests	Requests	Disclosure	% of Faculty	# with
	Requesting	Faculty	Requesting	Reviewed at	Approved	Reports	Submitting	Management
	SPOCS		SPOCS	a Higher	with	Submitted	Annual	Plans
				Level than	Management		Disclosure	
				Supervisor	Plans			
College of Ag, Biotech and Natural					0			
Resources**	18	216	8%	0	U	216	100%	0
College of Business	7	118	6%	0	0	118	100%	0
College of Education & Human					0			
Development	18	109	17%	0	U	109	100%	1
College of Engineering	13	129	10%	0	1	129	100%	1
College of Liberal Arts	11	238	5%	0	0	238	100%	0
College of Science	28	279	10%	0	0	279	100%	0
Extended Studies	0	6	0%	0	0	6	100%	0
Graduate School	0	7	0%	0	0	7	100%	0
Honors College	0	7	0%	0	0	7	100%	0
Intercollegiate Athletics	0	114	0%	0	0	114	100%	0
Lawlor Events Center	0	2	0%	0	0	2	100%	0
Nevada Humanities	0	4	0%	0	0	4	100%	0
Office of the Provost	5	158	3%	0	0	157	99%	0
Orvis School of Nursing	5	40	13%	0	0	40	100%	0
President's Office	1	37	3%	0	0	37	100%	0
Research & Innovation	6	94	6%	0	0	94	100%	1
School of Journalism	2	36	6%	0	0	36	100%	0
School of Medicine	43	274	16%	0	9	274	100%	18
School of Public Health	8	130	6%	0	0	130	100%	0
School of Social Work	4	28	14%	0	0	28	100%	0
University Libraries	3	46	7%	0	0	46	100%	0
VP Administration and Finance	2	120	2%	0	0	120	100%	0
VP Information Technology	0	52	0%	0	0	52	100%	0
VP Student Services	18	101	18%	0	0	101	100%	0
VP University Advancement	0	45	0%	0	0	45	100%	0
Total	192	2390	8%	0	10	2389	100%	21

Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other
College of Ag, Biotech and Natural Resources**	22	0	9	5	4	4
College of Business	7	0	3	2	0	2
College of Education & Human Development	24	0	7	7	5	5
College of Engineering	17	1	11	1	3	1
College of Liberal Arts	12	0	6	0	1	5
College of Science	34	0	23	5	3	3
Extended Studies	0	0	0	0	0	0
Graduate School	0	0	0	0	0	0
Honors College	0	0	0	0	0	0
Intercollegiate Athletics	0	0	0	0	0	0
Lawlor Events Center	0	0	0	0	0	0
Nevada Humanities	0	0	0	0	0	0
Office of the Provost	10	0	3	0	3	4
Orvis School of Nursing	7	0	4	0	1	2
President's Office	1	0	1	0	0	0
Research & Innovation	10	0	8	1	0	1
School of Journalism	2	0	1	1	0	0
School of Medicine	75	1	50	5	6	13
School of Public Health	10	0	5	4	0	1
School of Social Work	5	0	4	1	0	0
University Libraries	3	0	1	0	0	2
VP Administration and Finance	2	0	2	0	0	0
VP Information Technology	0	0	0	0	0	0
VP Student Services	25	0	16	3	0	6
VP University Advancement	0	0	0	0	0	0
Total	266	2	154	35	26	49

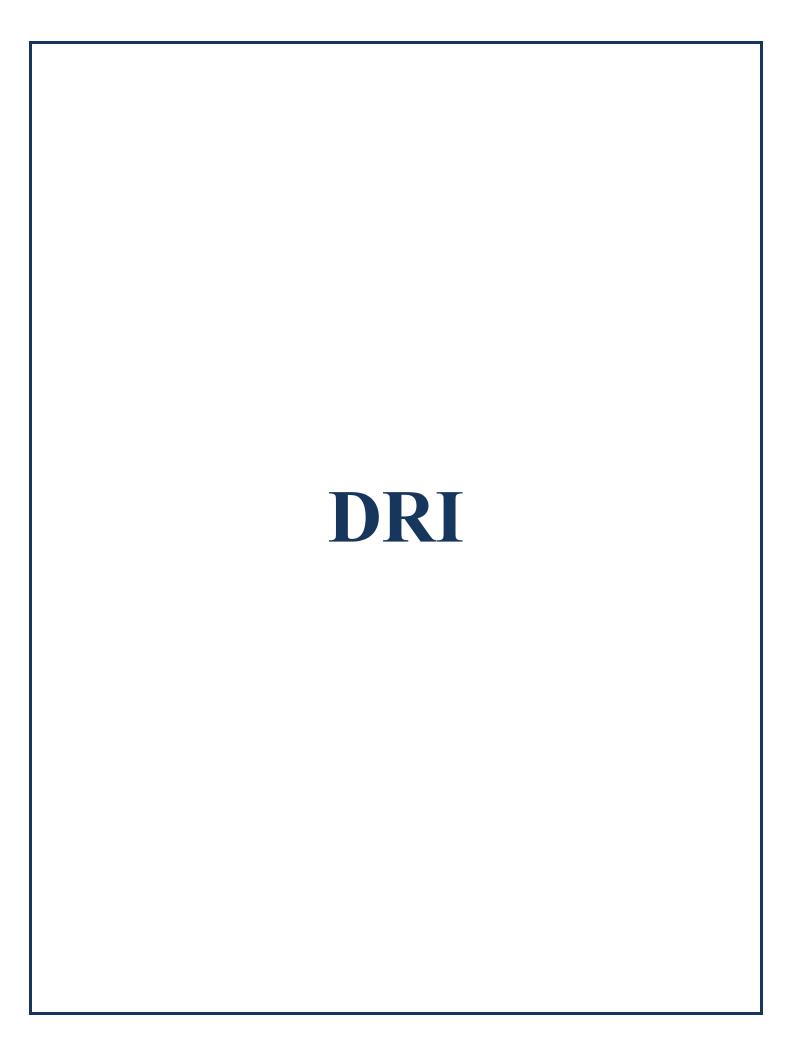
Definitions:

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Scholarly or Professional Outside Compensated Services (SPOCS) means any outside scholarly or professional service by a faculty or professional staff member within his/her subject matter field or for which he/she is compensated by an outside entity (e.g., consulting).

Administrative Unit – Universities: College or Vice President Office

Administrative Unit - DRI: Division



Desert Research Institute January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	SPOCS	SPOCS	COI # Annual	COI	COI
	# of Faculty	Total # of	% of Faculty	Requests	Requests	Disclosure	% of Faculty	# with
	Requesting	Faculty	Requesting	Reviewed at	Approved	Reports	Submitting	Management
	SPOCS		SPOCS	a Higher	with	Submitted	Annual	Plans
				Level than	Management		Disclosure	
				Supervisor	Plans			
Atmospheric Sciences, Division of	12	54	22%	0	3	50	93%	0
Hydrologic Sciences, Division of	10	67	15%	0	3	61	91%	0
Earth and Ecosystem Sciences,								
Division of	11	53	21%	0	2	49	92%	0
Administration	20	47	43%	0	1	39	83%	0
Total	53	221	24%	0	9	199	90%	0

Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other
Atmospheric Sciences, Division of	12	0	5	4	1	3
Hydrologic Sciences, Division of	10	0	4	2	1	4
Earth and Ecosystem Sciences, Division of	11	0	4	3	1	5
Administration	20	0	9	3	5	6
Total	53	0	22	12	8	18

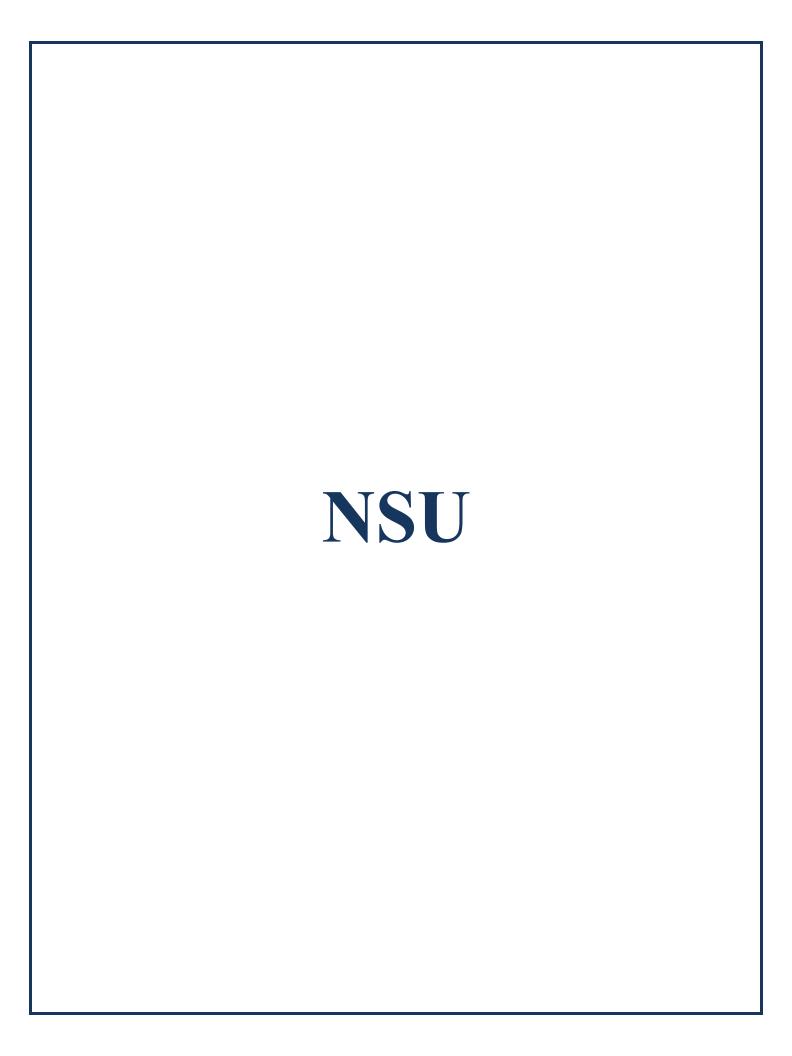
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Administrative Unit – Universities: College or Vice President Office

Administrative Unit – DRI: Division



Nevada State University January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	# of COI	# of COI	# of actual COI
	# of Faculty	Total # of	% of Faculty	Reports	Reviewed at	with
	Requesting	Faculty	Requesting	Submitted	a Level	Management
	SPOCS		SPOCS		Higher than	Plans
					the	
					Supervisor	
School of Education	4	25	16%	0	0	0
School of Liberal Arts, Sciences, and Business	7	82	9%	0	0	0
School of Nursing	4	35	11%	0	0	0
University Library	1	5	20%	0	0	0
Total	16	147	11%	0	0	0

Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other
School of Education	4		2	1		1
School of Liberal Arts, Sciences, and Business	7		4	2	1	
School of Nursing	4		2	2		
University Library	1					
Total	16	0	8	5	1	1

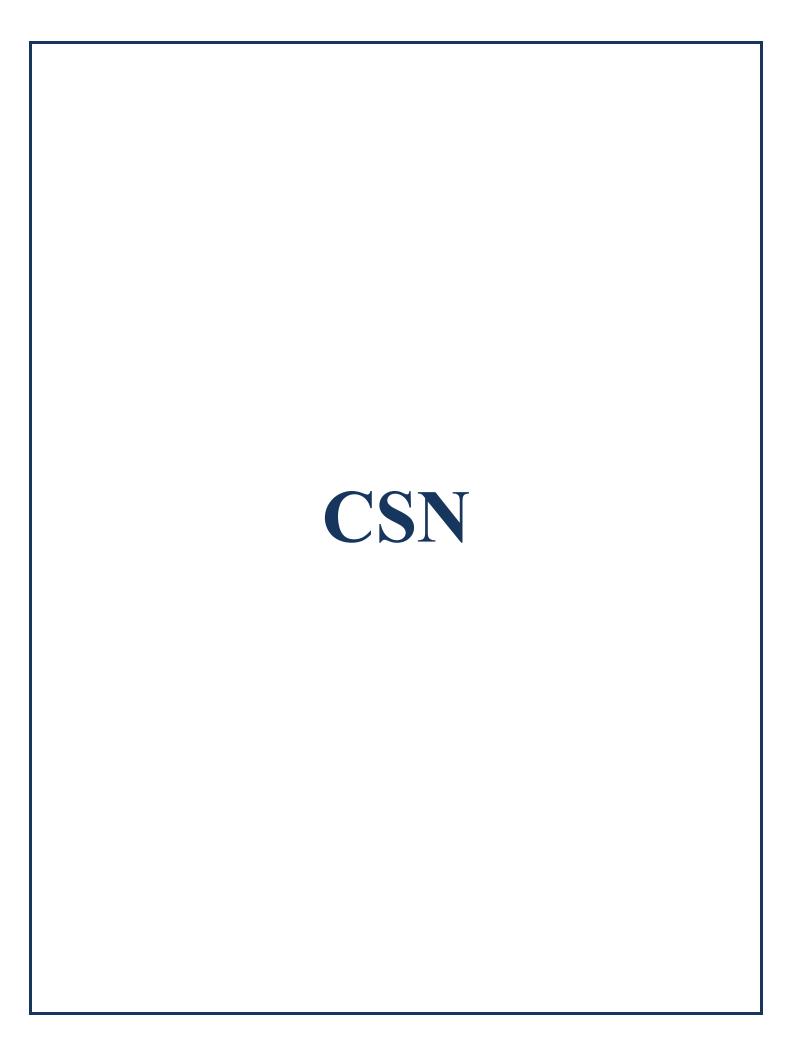
Definitions:

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Administrative Unit – Universities: College or Vice President Office

Administrative Unit – DRI: Division



College of Southern Nevada January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	# of COI	# of COI	# of actual COI
	# of Faculty	Total # of	% of Faculty	Reports	Reviewed at	with
	Requesting	Faculty	Requesting	Submitted	a Level	Management
	SPOCS		SPOCS		Higher than	Plans
					the	
					Supervisor	
Advanced & Applied Technologies, School of	18	80	23%	0	0	0
Advanced Technologies, Department of	1	1	100%	0	0	0
Applied Technologies, Department of	3	21	14%	0	0	0
Automotive Technologies, Department of	1	2	50%	0	0	0
Computer Information Technologies, Department of	8	23	35%	0	0	0
Diesel Technologies, Department of	0	2	0%	0	0	0
Graphic Communications, Department of	1	1	100%	0	0	0
Heating, Ventilation & Air Conditioning, Department of	2	3	67%	0	0	0
Media Technologies, Department of	1	19	5%	0	0	0
Transportation Technologies, Department of	0	5	0%	0	0	0
Welding Technologies, Department of	1	3	33%	0	0	0
Arts & Letters, School of	5	13	38%	0	0	0
Communication, Department of	0	1	0%	0	0	0
English, Department of	0	4	0%	0	0	0
Fine Arts, Department of	5	8	63%	0	0	0
Business, Hospitality & Public Services, School of	9	42	21%	0	0	0
Accounting & Finance, Department of	3	13	23%	0	0	0
Business Administration, Department of	0	7	0%	0	0	0
Business Management, Department of	1	4	25%	0	0	0
Criminal Justice & Emergency Services, Department of	3	4	75%	0	0	0
Emergency Services, Department of	1	1	100%	0	0	0
Hospitality Management, Department of	1	13	8%	0	0	0
Education, Behavioral & Social Sciences, School of	11	28	39%	0	0	0
History, Department of	0	1	0%	0	0	0
Human Behavior, Department of	3	4	75%	0	0	0
Philosophy, Department of	0	2	0%	0	0	0

Total	176	656	27%	0	0	0
Technology Services, Office of	2	2	100%	0	0	0
Sponsored Projects, Office of	1	1	100%	0	0	0
e-Learning, Office of	2	2	100%	0	0	0
Diversity, Office of	1	1	100%	0	0	0
Human Resources, Department of	3	3	100%	0	0	0
Health Programs Advising, Department of	1	1	100%	0	0	0
External Relations and Campus Operations, Dept of	1	1	100%	0	0	0
Centers for Academic Success, Department of	3	3	100%	0	0	0
Campus Life, Department of	1	1	100%	0	0	0
Athletics, Department of	2	2	100%	0	0	0
Advising and Coaching Services, Department of	3	3	100%	0	0	0
Accreditations and Institutional Effectiveness, Dept of	1	1	100%	0	0	0
Academic Administration, Department of	1	1	100%	0	0	0
Physical Sciences, Department of	3	25	12%	0	0	0
Mathematics, Department of	6	44	14%	0	0	0
Biological Sciences, Department of	2	28	7%	0	0	0
Math, Science & Engineering, School of	11	97	11%	0	0	0
Veterinary Nursing, Department of	2	2	100%	0	0	0
Sonography, Department of	0	1	0%	0	0	0
Radiation Therapy, Department of	1	2	50%	0	0	0
Physical Therapy Assistance, Department of	0	1	0%	0	0	0
Ophthalmic Technology, Department of	0	1	0%	0	0	0
Nursing, Department of	12	25	48%	0	0	0
Health Related Professions, Department of	2	10	20%	0	0	0
Dental Hygiene, Department of	1	4	25%	0	0	0
Dental Diagnostic Rehabilitation Sciences, Department of	3	8	38%	0	0	0
Clinical Laboratory Sciences, Department of	1	1	100%	0	0	0
Cardiorespiratory Sciences, Department of	1	2	50%	0	0	0
Health Sciences, Ralph & Betty Engelstad School of	23	57	40%	0	0	0
Social Sciences, Department of	8	20	40%	0	0	0
Regional Studies, Department of	0	1	0%	0	0	0

Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other
Advanced & Applied Technologies, School of	18					
Advanced Technologies, Department of	1					
Applied Technologies, Department of	3					
Automotive Technologies, Department of	1					

Computer Information Technologies, Department of	8			
Diesel Technologies, Department of	0			
Graphic Communications, Department of	1			
Heating, Ventilation & Air Conditioning, Department of	2			
Media Technologies, Department of	1			
Transportation Technologies, Department of	0			
Welding Technologies, Department of	1			
Arts & Letters, School of	5			
Communication, Department of	0			
English, Department of	0			
Fine Arts, Department of	5			
Business, Hospitality & Public Services, School of	9			
Accounting & Finance, Department of	3			
Business Administration, Department of	0			
Business Management, Department of	1			
Criminal Justice & Emergency Services, Department of	3			
Emergency Services, Department of	1			
Hospitality Management, Department of	1			
Education, Behavioral & Social Sciences, School of	11			
History, Department of	0			
Human Behavior, Department of	3			
Philosophy, Department of	0			
Regional Studies, Department of	0			
Social Sciences, Department of	8			
Health Sciences, Ralph & Betty Engelstad School of	23			
Cardiorespiratory Sciences, Department of	1			
Clinical Laboratory Sciences, Department of	1			
Dental Diagnostic Rehabilitation Sciences, Department of	3			
Dental Hygiene, Department of	1			
Health Related Professions, Department of	2			
Nursing, Department of	12			
Ophthalmic Technology, Department of	0			
Physical Therapy Assistance, Department of	0			
Radiation Therapy, Department of	1			
Sonography, Department of	0			
Veterinary Nursing, Department of	2			
Math, Science & Engineering, School of	11			
Biological Sciences, Department of	2			
Mathematics, Department of	6			
Physical Sciences, Department of	3			

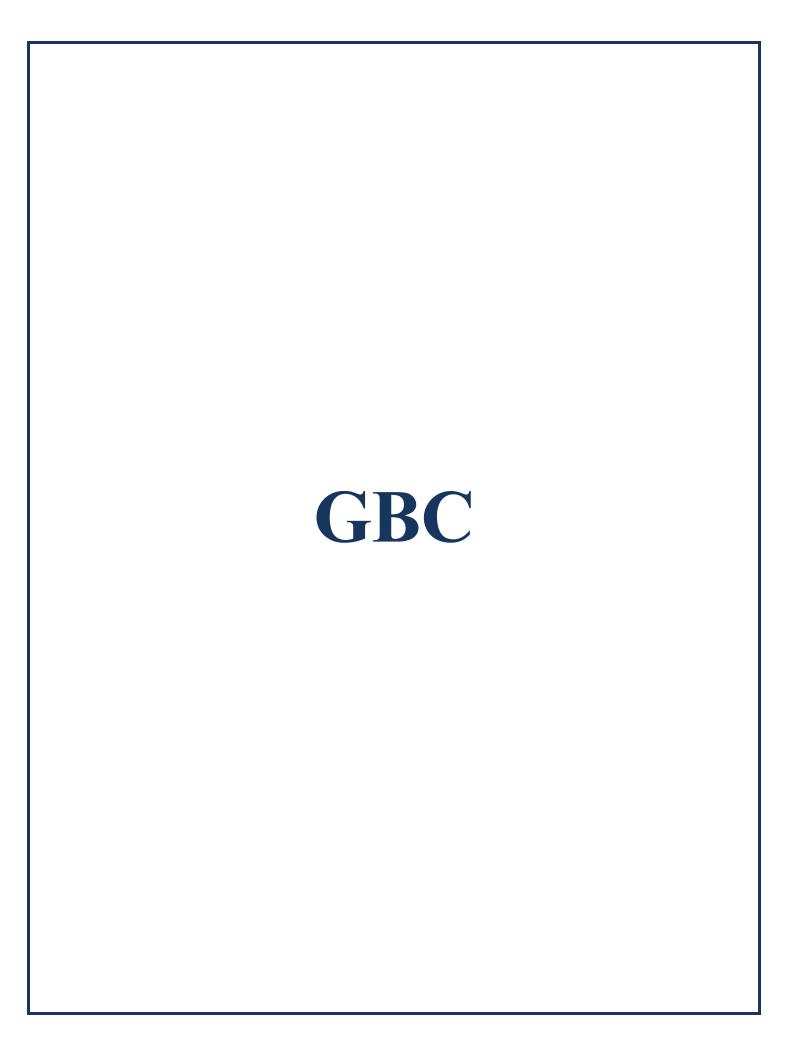
Academic Administration, Department of	1					
Accreditations and Institutional Effectiveness, Dept of	1					
Advising and Coaching Services, Department of	3					
Athletics, Department of	2					
Campus Life, Department of	1					
Centers for Academic Success, Department of	3					
External Relations and Campus Operations, Dept of	1					
Health Programs Advising, Department of	1					
Human Resources, Department of	3					
Diversity, Office of	1					
e-Learning, Office of	2					
Sponsored Projects, Office of	1					
Technology Services, Office of	2					
7	Total 176	0	0	0	0	0

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Administrative Unit – Universities: College or Vice President Office

Administrative Unit – DRI: Division



Great Basin College

January 1 - December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	# of COI	# of COI	# of actual COI
	# of Faculty	Total # of	% of Faculty	Reports	Reviewed at	with
	Requesting	Faculty	Requesting	Submitted	a Level	Management
	SPOCS		SPOCS		Higher than	Plans
					the	
					Supervisor	
Academic and Administrative Staff	10	143	7%	0	0	0
Total	10	143	7%	0	0	0

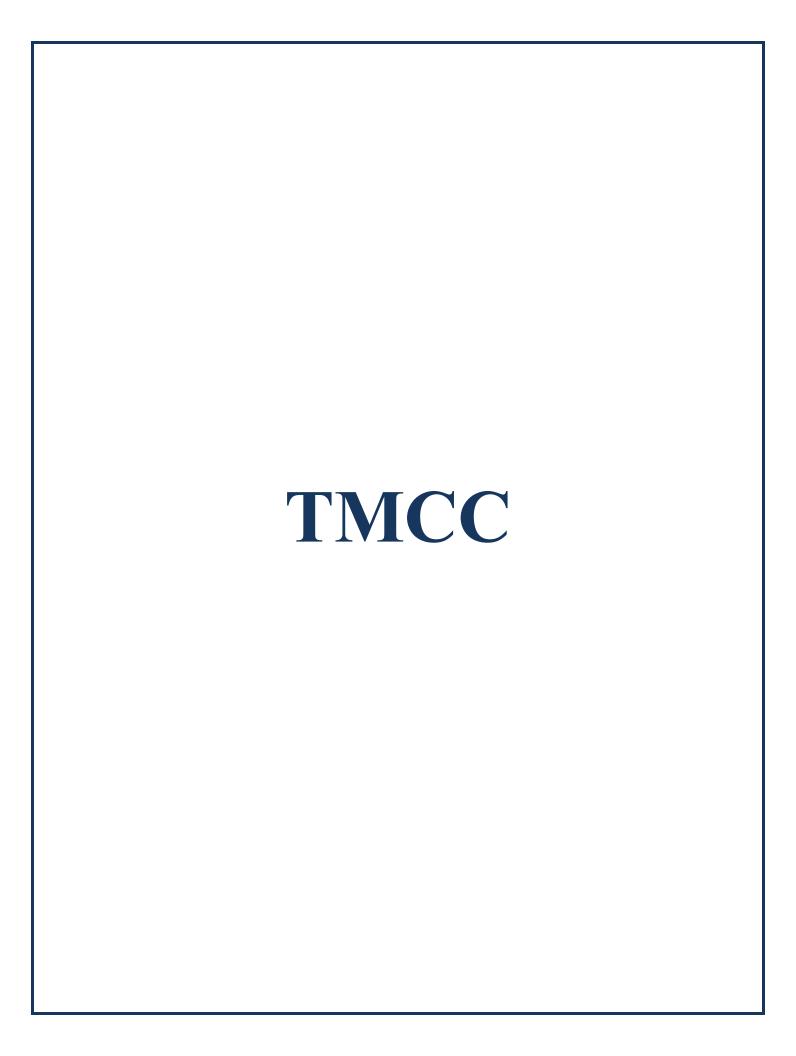
Administrative Unit	# of SPOCS	Not	Business	Academic	Government	Other
	Requests	Approved		Institution		
Academic and Administrative Staff	10	0	3	6	1	0
Total	10	0	3	6	1	0

Definitions:

Conflict of Interest (COI) means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee of the institution. Scholarly or Professional Outside Compensated Services (SPOCS) means any outside scholarly or professional service by a faculty or professional staff member within his/her subject matter field or for which he/she is compensated by an outside entity (e.g., consulting).

Administrative Unit - Universities: College or Vice President Office

Administrative Unit – DRI: Division



Truckee Meadows Community College January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	# of COI	# of COI	# of actual COI
	# of Faculty	Total # of	% of Faculty	Reports	Reviewed at	with
	Requesting	Faculty	Requesting	Submitted	a Level	Management
	SPOCS		SPOCS		Higher than	Plans
					the	
					Supervisor	
Academic Affairs	16	206	8%	0	0	0
Finance and Administrative Services	1	36	3%	0	0	0
President's Office	5	28	18%	0	0	0
Student Services	3	65	5%	0	0	0
Total	25	335	7%	0	0	0

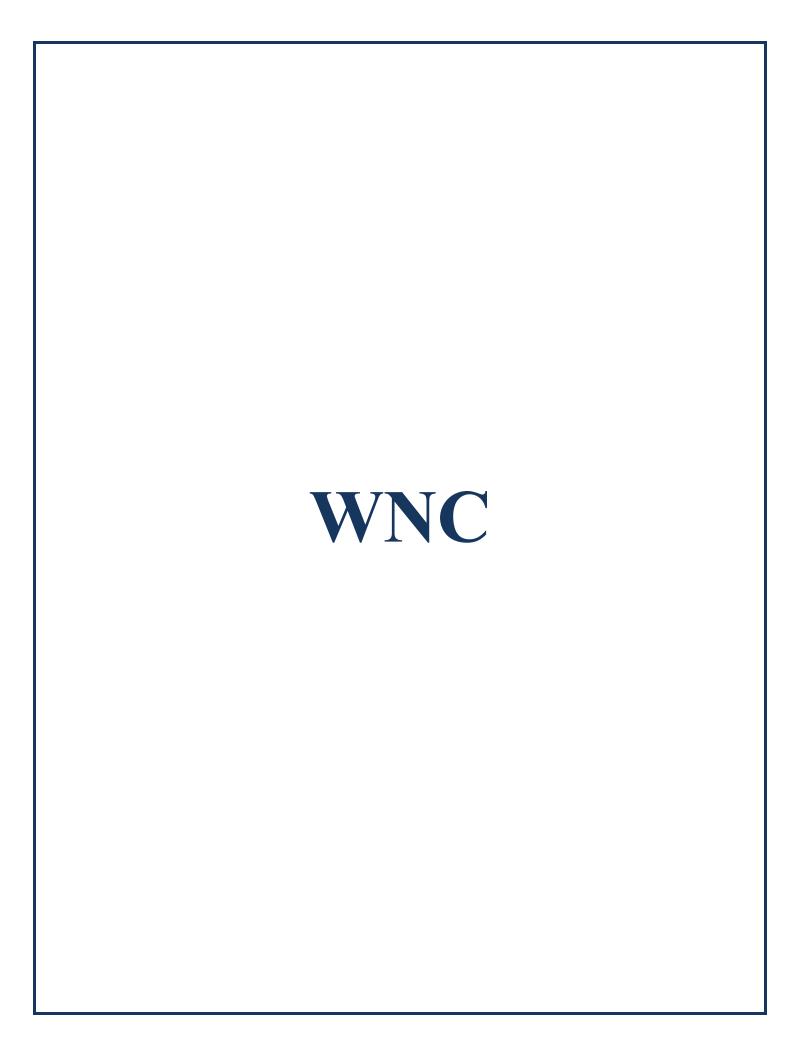
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other
Academic Affairs	16		6	5		5
Finance and Administrative Services	1		1			
President's Office	5		1			4
Student Services	3			2		1
Total	25		8	7		10

Definitions:

Conflict of Interest (COI) means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee of the institution. Scholarly or Professional Outside Compensated Services (SPOCS) means any outside scholarly or professional service by a faculty or professional staff member within his/her subject matter field or for which he/she is compensated by an outside entity (e.g., consulting).

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division



Western Nevada College January 1 – December 31, 2024

Administrative Unit	SPOCS	SPOCS	SPOCS	# of COI	# of COI	# of actual COI
	# of Faculty	Total # of	% of Faculty	Reports	Reviewed at	with
	Requesting	Faculty	Requesting	Submitted	a Level	Management
	SPOCS		SPOCS		Higher than the Supervisor	Plans
Academic & Student Affairs	14	95	15%	0	0	0
Administration & Finance	2	14	14%	0	0	0
Advancement	1	9	11%	0	0	0
Chief of Staff & Human Resources	0	3	0%	0	0	0
President	0	6	0%	0	0	0
Total	17	127	13%	0	0	0

Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other
	·					
Academic & Student Affairs	14	0	6	3	1	4
Administration & Finance	2	0	0	1	0	1
Advancement	1	0	0	1	0	0
Chief of Staff & Human Resources	0					
President	0					
Total	17	0	6	5	1	5

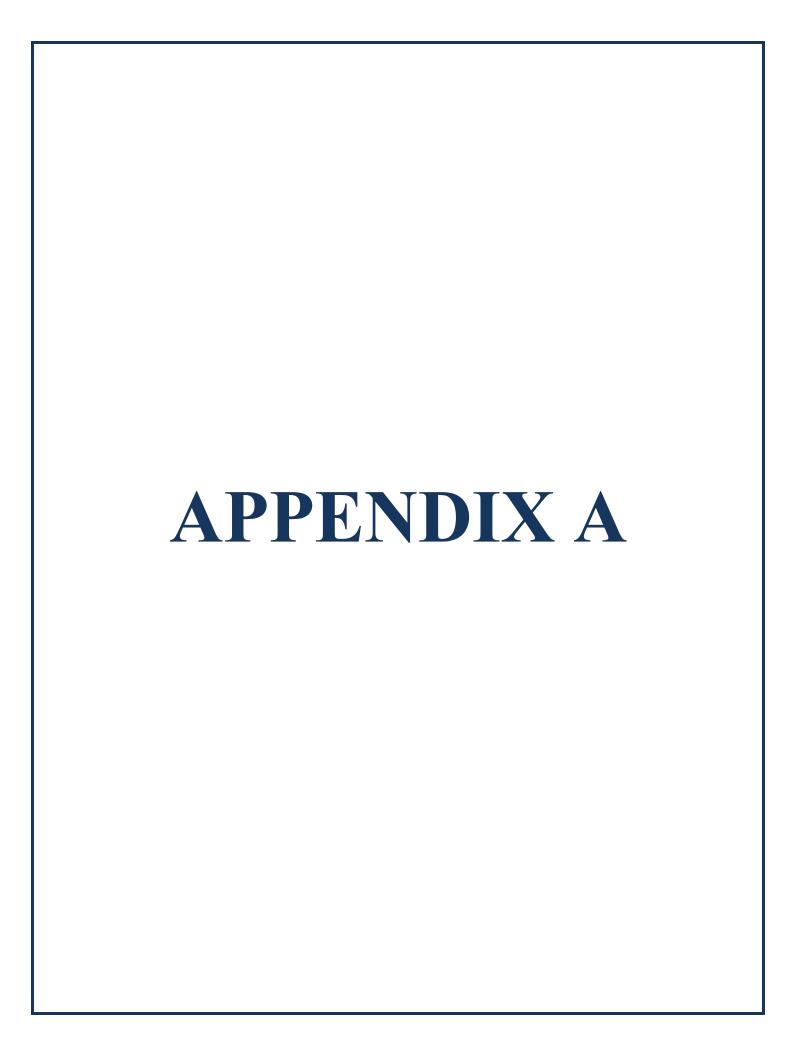
Definitions:

Conflict of Interest (COI) means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee of the institution.

Scholarly or Professional Outside Compensated Services (SPOCS) means any outside scholarly or professional service by a faculty or professional staff member within his/her subject

matter field or for which he/she is compensated by an outside entity (e.g., consulting).

Administrative Unit – Universities: College or Vice President Office Administrative Unit – DRI: Division



Board of Regents *Handbook* Title 4, Chapter 3, Section 9

Section 9. <u>Compensated Outside Professional Services</u> (For DRI Faculty Members, See Title 4, Chapter 11, Sec. 12)

- 1. Outside professional or scholarly service by faculty members within their subject matter field and for compensation is recognized as a legitimate activity unless specifically prohibited by the employee's contract with the institution.
- 2. Outside professional or scholarly service as contemplated by this section shall not interfere with the performance of the duties of any faculty member. If taken during the faculty member's standard workweek, such service shall occupy no more than one day's equivalent time per work week (20%) for full-time faculty members. Faculty members on 12-month contracts must take annual leave if providing outside professional or scholarly service during the standard work week. For the purposes of this paragraph, annual leave is not required to be taken if the activity is scholarly in nature and advances the reputation of the institution, such as serving on a national review board, and the amount of compensation, above expenses, is less than the monetary value of taking a half-day leave.
- 3. No faculty member may undertake outside professional or scholarly service that would result in a conflict of interest with his or her assigned duties. Conflict of interest means any outside activity or interest that may adversely affect, compromise, or be incompatible with the obligations of an employee to the institution.
- 4. Faculty members performing compensated outside professional or scholarly service are subject to the code of ethical standards of the State of Nevada (NRS 281A.400-281A.660), which governs the conduct of public officers and employees.
- 5. A faculty member may not perform an official act on behalf of the institution that directly benefits a business or other undertaking in which he or she either has a substantial financial interest or is engaged as counsel, consultant, representative, agent, director, or officer. This prohibition is not intended to limit a faculty member's ability to enter into a contract between a governmental entity, the institution, and a private entity to the extent authorized by and in conformity with NRS 281.221(3), NRS 281.230(3), NRS 281A.430(3), the Board of Regents Intellectual Property Policy (Title 4, Chapter 12, Sections 1-8), and the Board of Regents Conflict of Interest Policy (Title 4, Chapter 10, Section 1.7).
- 6. For the purpose of this section, potential conflicts of interest include a faculty member's involvement in transactions or decisions on behalf of an institution, in which the faculty member knows that benefits accrue to individuals in the faculty member's household, persons to whom the faculty member is related by blood, adoption or marriage within the third degree of consanguinity, or persons with whom the faculty member has substantial and continuing outside business relationships.

Relationships within the third degree of consanguinity or affinity are defined as:

- (a) The faculty member's spouse, child, parent, sibling, half-sibling, or step-relatives in the same relationship;
- (b) The spouse of the faculty member's child, parent, sibling, half-sibling, or step-relative; or
- (c) The faculty member's in-laws, aunt, uncle, niece, nephew, grandparent, grandchild, or first cousin.
- 7. Except as otherwise provided in this section, full-time faculty members engaged in providing compensated outside professional or scholarly service shall provide advance notification and request approval in writing to their immediate supervisor about the nature of the work to be performed, the company/organization for which the work will be performed, and the estimated time involved. The

request must be approved in advance by the supervisor within 10 working days. Individual requests to perform outside professional or scholarly service shall be considered confidential personnel documents pursuant to Title 2, Chapter 5, Section 5.6.2.of the Code.

Institutions may establish an expedited pre-approval process for notification of certain compensated outside professional or scholarly activities that are for a short-period, determined to be routine or standard, and compensated at \$3,000 or less per activity. Such services must be identified within the written pre-approval process established by the institution and may include activities such as service on a national panel, speaking engagements as allowed by the institution, and reviewing manuscripts.

- 8. When a supervisor believes that a faculty member's consulting activities conflict with the faculty member's obligations to the institution or other obligations of the institution, the supervisor shall inform the faculty member of these concerns and negotiate a mutually acceptable course of action. If a mutually acceptable course of action cannot be negotiated, the appropriate vice president or vice chancellor, as the case may be, will hear and evaluate the evidence and render a decision, or appoint a review committee if necessary. The vice president or vice chancellor may require the faculty member to cease performance of existing obligations while the faculty member remains a NSHE employee.
- 9. Faculty members performing compensated outside professional or scholarly service shall inform those who engage them that they are not acting in the name of the institution and that the institution is not a party to the contract nor liable for any actions of such faculty member.
- 10. In negotiating for a contract for outside compensated professional or scholarly services, faculty members shall not use institutional stationery or forms in any manner.
- 11. Full-time faculty members may make a general announcement of their availability as consultants but may not solicit consulting assignments.
- 12. Faculty members working independently on their own time may obtain patents or copyrights on the results of their work.
- 13. Faculty members performing compensated outside professional or scholarly service are subject to the Board policy on personal use of System property or resources (Title 4, Chapter 1, Section 25). A faculty member working independently on an outside-compensated contract shall not use NSHE facilities, equipment or personnel unless such use is authorized in advance by the supervisor. Reimbursement of any costs to the institution shall be in accordance with Title 4, Chapter 1, Section 25.
- 14. It is the responsibility of each full-time faculty member to report outside compensated services in a timely manner and to certify the accuracy of the disclosures. Failure to disclose outside compensated services in a timely and accurate manner constitutes a basis for disciplinary action under Title 2, Chapter 6 of the <u>Code</u>. Records are to be kept annually by the dean or appropriate vice president, or vice chancellor as the case may be, of all approved consulting activities in each department or division.
- 15. Each NSHE institution shall publish an annual report regarding compensated outside professional or scholarly service completed by its full-time faculty (aggregate data) and approved by the institution in accordance with the provisions of this section. This report will verify that all potential conflicts of interest have been reviewed and approved in accordance with the provisions of this section. A summary of these reports will be sent to the Board of Regents annually.

Board of Regents *Handbook*Title 4, Chapter 11, Section 12

Section 12. <u>Compensated Outside Professional Services</u> (For NSHE General Policy, See Title 4, Chapter 3, Sec. 8)

- 1. Under conditions set forth below, limited professional services rendered by a Desert Research Institute (DRI) faculty member to organizations or individuals outside of DRI for compensation is recognized as a legitimate, and often desirable, activity for a faculty member.
- 2. Outside professional or scholarly service as contemplated by this section shall not adversely affect the performance of the faculty member in regard to his or her obligation and duties to DRI. A faculty member is to perform compensated outside professional activities on his or her own time. For the purposes of this section, compensated outside professional or scholarly service does not include work conducted as part of the regular duties of, or the professional responsibilities of, the faculty member, such as serving on a national review board.
- 3. No faculty member may undertake outside professional or scholarly service that would result in a conflict of interest with his or her assigned duties. Conflict of interest means any outside activity or interest that may adversely affect, compromise, or be incompatible with the obligations of an employee to the institution.
- 4. DRI faculty members performing compensated outside professional or scholarly service are subject to the code of Ethical Standards of the State of Nevada (*Nevada Revised Statutes* (NRS) 281A.400-281A.480 and 281A.500-281A.660), which governs the conduct of public officers and employees.
- 5. A faculty member may not perform an official act on behalf of the institution that directly benefits a business or other undertaking in which he or she either has a substantial financial interest or is engaged as counsel, consultant, representative, agent, director, or officer. This prohibition is not intended to limit a faculty member's ability to enter into a contract between a governmental entity, the institution, and a private entity to the extent authorized by, and in conformity, with NRS 281.221(3), NRS 281.230(3), NRS 281A.430(3), the Board of Regents Intellectual Property Policy (Title 4, Chapter 12, Sections 1-8), and the Board of Regents Conflict of Interest Policy (Title 4, Chapter 10, Section 1.7).
- 6. For the purpose of this section, potential conflicts of interest include a faculty member's involvement in transactions or decisions on behalf of an institution, in which the faculty member knows that benefits accrue to individuals in the faculty member's household, persons to whom the faculty member is related by blood, adoption or marriage within the third degree of consanguinity, or persons with whom the faculty member has substantial and continuing outside business relationships.

Relationships within the third degree of consanguinity or affinity are defined as:

- (a) The faculty member's spouse, child, parent, sibling, half-sibling, or step-relatives in the same relationship;
- (b) The spouse of the faculty member's child, parent, sibling, half-sibling, or step-relative; or
- (c) The faculty member's in-laws, aunt, uncle, niece, nephew, grandparent, grandchild, or first cousin.
- 7. Except as otherwise provided in this section a full-time faculty member engaged in providing compensated outside professional service shall provide advance notification and request approval in writing to his or her supervisor, i.e., the executive director of the division or the appropriate vice president if not in a division, of the nature of the work to be performed, the company/organization for which the work will be performed, and the amount of his or her time likely to be involved. The

request must be approved in advance by the supervisor within 10 working days and shall indicate that the intended compensated outside professional service is not in conflict with any obligations currently incurred or assumed by the Institute. Activity in new areas not presently a regular part of the DRI efforts will not be considered to be in conflict even if DRI subsequently moves into such work. Individual requests to perform outside professional or scholarly service shall be considered confidential personnel documents pursuant to Title 2, Chapter 5, Section 5.6.2. of the <u>Code</u>.

DRI may establish an expedited pre-approval process for notification of certain compensated outside professional or scholarly activities that are for a short-period, determined to be routine or standard, and compensated at \$3,000 or less per activity. Such services must be identified within the written pre-approval process established by DRI and may include activities such as service on a national panel, speaking engagements as allowed by the institution, and reviewing manuscripts.

- 8. When a supervisor believes that a faculty member's consulting activities conflict with the faculty member's obligations to the institution or other obligations of the institution, the supervisor shall inform the faculty member of these concerns and negotiate a mutually acceptable course of action. If a mutually acceptable course of action cannot be negotiated, the faculty member or the supervisor may request the DRI Senate to appoint a panel of three DRI professional members to hear and evaluate the evidence and make a recommendation to the president. The president will review the recommendation and render a final decision. The president may require the faculty member to cease performance of existing obligations while the faculty member remains a DRI employee.
- 9. Any faculty member performing outside professional service shall inform those who engage him or her that the faculty member is not acting in the name of the DRI and that the DRI is neither a party to the contract nor liable for any actions of such faculty member.
- 10. In negotiating for a contract or any contract activities for outside compensated professional service, a faculty member shall not use DRI stationery or forms in any manner.
- 11. Faculty members performing compensated outside professional or scholarly service are subject to the Board policy on personal use of System property or resources (*Title 4, Chapter 1, Section 25*). A faculty member working independently on an outside compensated contract shall not use DRI facilities, equipment or personnel not available to members of the general public unless such use is authorized in advance by the supervisor. Reimbursement of any added direct costs to DRI shall be in accordance with Title 4, Chapter 1, Section 25.
- 12. A faculty member working independently on his or her own time may obtain patents or copyrights on the results of his or her work, providing DRI resources were not used in the preparation of the inventions or copyrighted work.
- 13. It is the responsibility of each full-time faculty member to report outside compensated services in a timely manner and to certify the accuracy of the disclosures. Failure to disclose outside compensated services in a timely and accurate manner constitutes a basis for disciplinary action under Title 2, Chapter 6 of the <u>Code</u>. Records are to be kept annually by each supervisor of all approved consulting activities.
- 14. The Desert Research Institute shall publish an annual report regarding compensated outside professional or scholarly service completed by its full-time faculty, as aggregate data, and approved by the institution in accordance with the provisions of this section. This report will verify that all potential conflicts of interest have been reviewed and approved in accordance with the provisions of this section. A summary of these reports will be sent to the Board of Regents annually.